

Application for Funding Projects



About this form

This form enables you to make an application for funding over \$100,000 from the Provincial Growth Fund for applications relating to the delivery of projects.

You will need to use the Express Form if your application is for an activity under \$100,000 or the Development Phase Form if your application is within the Development phase of a Project (i.e. feasibility / business case).

These forms are available on the [Provincial Growth Fund website](#)

Purpose of the Provincial Growth Fund

The Provincial Growth Fund aims to lift productivity potential in the provinces. Its priorities are to enhance economic development opportunities, create sustainable jobs, enable Māori to reach their full potential, boost social inclusion and participation, build resilient communities, and help meet New Zealand's climate change targets.

Completing this form

Please complete all sections fully and accurately. Square brackets and italics indicate guides.

Please see the PGF website, or contact your regional relationship manager, for further support. If you are applying on behalf of a number of parties, you need their consent to submit this application. You can add other applicants during the application process. You will be the point of contact for this application, but you must give us all information about all applicants.

Submitting your application

All completed forms must be emailed to PGF@mbie.govt.nz with a clear subject included.

If you are a Trust (or applying on behalf of a Trust), then you must provide a copy of your Trust Deed.

Next Steps

Applications will be assessed for eligibility, as well as how well they will deliver on the aims of the Provincial Growth Fund. One of our team will be in contact regarding your application.

Funding Agreement

The template funding agreements can be found on the [Provincial Growth Fund website](#)

Public disclosure

The Provincial Development Unit is responsible for leading the Provincial Growth Fund's design, administration and monitoring its operation in consultation with other government agencies. In the interests of public transparency, successful applications may be published by the Provincial Development Unit. Commercially sensitive and personal information will be redacted by reference to the provisions of the Official Information Act 1982. Please identify by highlighting any information in your application that you regard as commercially sensitive or as personal information for the purposes of the Privacy Act 1993.

Part A: Key Details

Please note that if the funding agreement will not be held with the applicant (i.e. applying on behalf of another organisation), then we require the details of that organisation.

1. Proposal Title:

2. Please provide a very brief description of the project/activity:

We would like increase productivity and profitability of Hereumu Station. Goals to be achieved <small>Commercial Information</small>
<ul style="list-style-type: none">• Showcase Maori land business success on the East Coast, making our shareholders proud of their whenua.• Increase our effective land area by <small>Comm</small>%• <small>Commercial Infor</small> our gross revenue• <small>Commercial Information</small>

3. Please provide the details of the applicant organisation/entity for which funding is being requested:

Legal Name:	Hereumu Trust – Tikitiki A25
Entity Type:	Trust (please provide your Trust Deed)
Registered Offices / Place of Business:	300 Childers Road, Gisborne
Identifying Number:	N/A
Organisation's Website:	N/A

4. Please provide the contact details for a person as a key point of contact):

Contact Name and Role:	Privacy of natural persons		
Email Address:	Privacy of natural persons	Telephone:	Privacy of natural persons

5. Please describe the principal role or activity of the applicant organisation.

Sheep and beef cattle farming

6. This project will be based in the region of:

7. What type of funding is this application for:

[Note: the most appropriate funding type will be determined by the PDU in consultation with the applicant]

8. What is the activity / funding start and end date?

Start Date:	<small>Commercial Information</small>	Completion Date:	<small>Commercial Information</small>
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9. Has this project / activity been previously discussed with any part of Government? Yes: No:

- If Yes, please describe which part of government, and what the outcome of the discussions were.

<i>[The PDU will consult with other parts of Government as part of the assessment]</i> <i>[Insert your commentary here]</i>
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10. Have you previously received Government funding for this Project? Yes: No:

- If Yes, please list which part of the Government, when the funding was received, and how much under Q11.

11. Are you an overseas investor for the purposes of the Overseas Investment Act? Yes: No:

To find out if you are an overseas investor, and find support, please visit the Overseas Investment Office [website](#).

12. Please set out the proposed sources of funding for the Project:

Source of Funding: <i>[please indicate where all other funding is sourced from, noting who the funder is]</i>	\$ (excluding GST)	Status / Commentary <i>[i.e. received / confirmed / in principle]</i>
Provincial Growth Fund Funding (through this application)	\$ Commercial Inform	PGF Application
Hereumu Trust	\$ Commercial Inform	Contributed by Trust Commercial Information
Total:	\$ Commercial Informatio	

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Part B: Project Description

13. This application is: "a stand-alone activity" or "in support of a wider project/programme"

14. Will additional funding be required in the future? Yes: No:

If Yes, please describe at a high level, what this may include, and when this is likely to be applied for.

[Insert your commentary here]

15. Has a feasibility study, or equivalent, been conducted prior to this application? Yes: No:

- If Yes, please provide a copy, including an overview of the feasibility study and any outcomes
- If No, please explain why not; and how feasibility/viability of the project has been assured.

The block is currently run as a sheep and beef cattle farm. We believe we need to focus on improving our core business results.

A **Commercial Information** plan has been prepared by farm manager and reviewed by accountant and Trustees. Analysis of past and projected production and profitability has been completed by accountant. This plan was based on the farm manager's farm knowledge and took on board comments from the Anuwhenua Trophy judges report.

In the past five years, the Trustees and farm managers have achieved gains in livestock production, invested in infrastructure while working with limited funds. This experience will ensure the goals set out in the **Commercial Information** plan are achieved.

In 2006 a feasibility study was prepared **Commercial Information**. The outcome of this was that the farm needed to invest around \$**Comme** in infrastructure and development.

16. Please provide a detailed description of this project for which funding is being applied, including the benefits arising from the project:

Hereumu Trust is looking for funding to support their **Commercial Information** farm plan. This includes funding to increase the productivity of the farm, purchase assets required to support increased productivity and investment in infrastructure such as accommodation, fencing, fertiliser, weed and gorse eradication, water reticulation and roading.

This plan increases the effective area, productivity and profitability of Hereumu Station. It will allow Hereumu Station to continue to be run as a sheep and beef farm, **Commercial Information** We believe this will allow the Trust to obtain the best long-term results for the whenua and owners. Once the farm is profitable, we will be in a position to achieve the following outcomes relating to our long-term social/environmental goals:

- Engage with owners by connecting with owners via post, email and online, organising annual farm visits open to owners and whanau, holding the AGM on-farm, encouraging attendance at the AGM and supporting our marae, Kaiwaka, providing accommodation for owners to allow them to connect with the whenua, hapu and iwi.
- Engage with the community. **Commercial Information**
[Redacted]
- Protect the whenua and environment by continuing to retire native bush areas to allow regeneration of native flora and fauna. The Poroporo river runs through the farm. The Trust will fence waterways, particularly the river, to reduce the impact of farm activity on waterways. **Commercial Information**
[Redacted]

17. How does this project demonstrate additionality within the region?

[Please cover:

- Why the project is not already underway.
- How the project provides a new asset and is not seeking maintenance funding.
- The benefit of Central Government funding (i.e. over alternative sources).
- Why this project will unlock the potential within the region.]

In June 2019, the Trust submitted their Commercial Information plan including cash flow forecasts to Commercial Information . Commercial Informa

Free and frank opinions

Funding for the Commercial Informa plan is essential to ensure the whenua is returned to a productive state. This includes funding for infrastructure, fencing, fertiliser, water supply and weed control.

During our Commercial Informa plan we will employ contractors from the East Coast and where practical from the Tikitiki and Ruatoria areas to carry out; fencing, building, shearing and weed control. We will also require part-time casual farm workers to assist the farm manager.

We will continue to interact with the community Commercial Information

Commercial Information Our goal is to encourage students to consider farming as a career and to keep them in the region.

18. How is the project connected to regional (and sector) stakeholders and frameworks?

Our Commercial Informa plan supports the aims of Te Huarahi Hei Whai Oranga Tairawhiti Economic Action Plan (TEAP), the Tairawhiti Maori Economic Development Report (TMED), Restoring the Waiapu Catchment (RWC) and the draft Tairawhiti Economic Action Plan Refresh (TEAP-R) – copy attached to this email. Links to TEAP, TMED and RWC:

Withheld - Commercial Information

<https://www.tpk.govt.nz/en/mo-te-puni-kokiri/our-stories-and-media/tairawhiti-maori-economic-development-report#.WK5GqW99670>

<http://www.activatetairawhiti.co.nz/assets/Uploads/He-huarahi-hei-whai-oranga-tairawhiti-economic-action-plan-.pdf>

<https://www.mpi.govt.nz/funding-and-programmes/environment-and-natural-resources/restoring-the-waiapu-catchment/>

Source	Principle/Aim	Connection to Hereumu’s Commercial Informa plan
TMED	Kaupapa tuatahi – focus on people through rebuilding rural community numbers	We believe that the work Commercial Information , will encourage them to consider farming as a career and hope that it will allow them to stay in the region.

TMED	Kaupapa tuatahi – whanaungatanga, supporting iwi to provide and support cultural connectedness	We would like to be able to help owners and their whanau to reconnect with their whenua. We plan on organising annual farm visits open to owners and whanau, holding the AGM on-farm, encouraging attendance at the AGM and possibly by having a papakainga available as short term accommodation for owners to allow them to reconnect with the whenua.
TMED	Kaupapa tuarua – enhancing the existing ‘big project’ economic opportunities related to the traditional primary industries	Although Hereumu’s is a relatively small block of land, our plan aligns with this proposition. By increasing our productivity and profitability we will maximise the potential economic return. Commercial Information
TEAP	Connecting people with markets – improving transport connections including SH35	State Highway 35 connects Hereumu to Gisborne (2 hours) and Coptiki (2½ hours). Developing SH35 will increase access to Hereumu for owners and should result in better transport options and lower costs for the farm.
TEAP	Connecting people with markets – improving internet connectivity	Improvements to internet access are essential to Hereumu’s Commercial Information plan. Part of our funding is to purchase computer equipment (for recording and monitoring productivity) and cameras (to prevent livestock theft). Reliable internet will allow us to fully utilise this equipment.
RWC	Focus on sustainable land use in the Waiapu Catchment for a wide range of environmental, social and cultural outcomes.	Hereumu demonstrates contribution to these outcomes by: long term commitment to ahi kaa and in particular whanau, hapu, marae, iwi and community, sustainable and profitable farm management.
RWC	River restoration programme	Our Commercial Information plan includes reducing the impact of farming on the Poroporo River which runs through Hereumu Station and out to the Waiapu River by reducing stock crossing the river and fencing off the river to ensure stock can’t access the river.
TEAP-R	Priority 3 – Unlocking the potential of whenua Maori	Our plan is to increase the farm’s effective area, productivity and profitability through funding to purchase assets and invest in infrastructure such as buildings, fencing, fertiliser, weed control and tracks. This meets Priority 3 of TEAP action plan to – unlock the potential of Maori land.

7. Improve resilience and sustainability of transport infrastructure, within and/or between regions	<input type="checkbox"/>	N/A
8. Contribute to mitigating or adapting to climate change	<input checked="" type="checkbox"/>	Our <small>Commercial Information</small> plan includes installation of water systems to reduce the impact of climate change. <small>Commercial Information</small> [REDACTED] [REDACTED]
9. Increase the sustainable use of and benefit from natural assets	<input checked="" type="checkbox"/>	Our <small>Commercial Information</small> plan will allow us to life and maintain the productivity of Hereumu Station in the long-term.
10. Enhance wellbeing, within and/or between regions	<input type="checkbox"/>	N/A
Total number of outcomes project contributes to	7/10	

20. Has public consultation been conducted? Yes: No:
- If yes, what were the results?
 - If no, is there a plan to do so?

We presented our proposal at our 2019 AGM held in October. Owners unanimously approved that the Trustees submit this application to the Provincial Growth Fund.

We are seeking endorsement from the Tairāwhiti Economic Action Plan group.

21. Please provide your customer demand / market analysis for this project, covering the following where possible:
- What is the current market for this project's outcome, and what is your current involvement in the market?
 - What opportunities are there to *expand* market activity (i.e. what is the customer demand)?
 - What customer market relationships do you have to leverage success of the outcomes of this project?
 - Is the project primarily aimed at enhancing the share of a single market? If so, please detail the proposed markets with this intention.

Our plan relates to primary industry production. We are already in the market providing sheep and beef cattle for sale. Given the size of the market, we believe that it will readily absorb our increased production without impact on overall demand. We have a good working relationship with our livestock agents.

22. Where the project utilises land, does the land have any other interests associated with it? (i.e. Treaty claims, or iwi/hapū ownership) Yes: No:

N/A

23. Is the land owned by others, i.e. not solely by the applicant?
- If yes, then please describe the other interests and how will this be managed?

No

24. Does the land have appropriate Resource Management Act consents?

Yes:

No:

- If no, how and when will this be addressed?

We don't believe our activities will require RMA consent. If required for clearing of scrub, we will obtain the required consents before we begin clearing scrub.

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Part C: Project Delivery

[Please note – this section refers to the actual activity associated with this application.]

25. Please provide an overview of the project management approach / plan for this activity.

[We would like you to demonstrate:

- *How the activity will be managed from your organisation?*

The farm manager and Trustees will implement this plan. This plan is an extension of our core business – the farm manager has relevant industry experience and connections within the local community to ensure labour and materials are available when required.

- *What involvement is required by the Provincial Development Unit?*

None

- *What other partners are required for successful delivery? (demonstrating this with diagrams where possible)*

Labour and suppliers as mentioned above.

- *What project management practices will be in place?*

Our Commercial Informa plan is an extension of work done over the past five years. During this period the Trustees have supported the farm managers to achieve planned maintenance and productivity improvements.

Going forward the Trustees will continue to support the farm manager. Privacy of natural p currently provides monthly reports to the Trustees on farm activities. In addition to production targets set in the Commercial Informa plan, we have a Commercial Informa financial budget forecast. Trustees currently monitor the actual financial results with those in the forecast and receive bi-monthly reports from the accountant detailing this progress. Continuation of both of these practices will allow the Trustees to monitor expected physical and financial performance.

- *Who are the key personnel within the organisation relating to this project?*

Trustees, farm manager and accountant.

- *Please include bio's and provide an organisational/project structure where possible.*

Included in attached proposal.

- *Please attach a copy of your health and safety policy that will apply for this project.*

As this project is an extension of normal farm business, we will use our existing health and safety policies.

Policy included in attached proposal – Appendix 12. **Withheld - Commercial Information**

26. Have you had independent verification of the project approach / plan?

Yes:

No:

If yes, who verified the project and when?

Our plan is based on the Commercial Informa plan submitted to the Trustees by our farm manager. The Trustees, manager and accountant reviewed and refined this plan. We believe our farm manager and accountant have the relevant industry experience required to assess this plan.

27. Please provide us with a project plan, where possible please attach a schedule (i.e. Gantt chart):

[Please use the following table to describe the milestones of the project, if preferred, a schedule can be provided]

#	Project Activity	Responsible:	Date / Period:
1	Fencing – obtain quotes for materials and [Commercial Information] – estimated timeframe to complete fencing and build satellite yards – [Commercial Information] months	Farm manager	[Commercial Information]
2	Replace chicory crops – [Commercial Information]	Farm manager	[Commercial Information]
3	Fertiliser – order product and arrange cartage	Farm manager	[Commercial Information]
4	Water Supply – order tanks	Farm manager	[Commercial Information]
5	Water Supply – [Commercial Information] to assist with installation of piping and troughs – timeframe [Commercial Information]	Farm manager	[Commercial Information]
6	Weed control – Order chemical and [Commercial Information] to spray variegated thistles and [Commercial Information] of gorse.	Farm manager	[Commercial Information]
7	Tractor, spray unit and mulcher – source second-hand tractor and mulcher, order spray unit	Farm manager	[Commercial Information]
8	Source computer, weigh scales and security system.	Farm manager	[Commercial Information]
9	Shearer’s quarters maintenance – [Commercial Information]	Farm manager	[Commercial Information]
10	Fertiliser – order product and arrange cartage	Farm manager	[Commercial Information]
11	Weed control – Order chemical and [Commercial Information] to spray variegated thistles and [Commercial Information] of gorse.	Farm manager	[Commercial Information]
12	Fencing – obtain quotes for materials and [Commercial Information] – estimated timeframe to complete fencing and build satellite yards – [Commercial Information]	Farm manager	[Commercial Information]

28. Please provide a complete breakdown of the costs of the project to assist us in understanding where the funds will be utilised:

[Note that figures in this table must align with other figures provided in Q11. Please use as many lines as it takes to provide us with the appropriate detail. If more suitable, please attach a budget spreadsheet to this application]

Cost Description:	\$ (excluding GST)
Fencing – Commercial to fence native blocks @ \$Comm/m for materials and labour	\$Commercial Inform
Fencing – Commercial to subdivide paddocks @ \$Comm/m for materials and labour	\$Commercial Info
Stock yards Comm – materials and labour	\$Commercial Info
Capital and maintenance fertiliser for Commercial Information including product and application @ \$Comm/T plus cartage	\$Commercial Info
Replace chicory crops	\$Commercial Info
Spray variegated thistles and Commercial Information of gorse	\$Commercial Info
Clearing tracks to provide better access to bush blocks	\$Commercial Info
Install Commercial tanks and reticulation and troughs to paddocks	\$Commercial Info
Shearer's quarters maintenance	\$Commercial Info
Tractor with spray unit and mulcher	\$Commercial Inform
Security camera system for yards, computer and weigh scales	\$Commercial Info
Capital and/or maintenance fertiliser for Commer hectares including product and application @ \$Comm/T plus cartage	\$Commercial Info
Replace chicory crops	\$Commercial Info
Spray variegated thistles and Commercial Information of gorse	\$Commercial Info
Fencing – Commercial to enclose and subdivide paddocks cleared @ \$Comm/m for materials and labour	\$Commercial Info
Total	\$Commercial Inform

29. What are the proposed deliverables if funding is approved?

[Please use the following table, consider what deliverables there will be, when, and whether there are any payments associated with them and against what criteria payment should be made. If it is a single deliverable with single payment, please just use one line]

#	Description	Payment criteria:	Invoice Value \$ (Exc. GST)	%	Invoice Date:
1	Infrastructure	Payment required at start of project to cover wages, product and contractors	\$ Commercial Inform	Comm%	Commercial Informa
2	Assets	Payment required before delivery	\$ Commercial Inform	Comm%	Commercial Informa
3	Shearer's quarters renovations	Payment required before commencement of building renovations to cover contractor wages and material costs	\$ Commercial Info	Com%	Commercial Informa
4	Infrastructure	Payment required at start of project to cover wages, product and contractors	\$ Commercial Inform	Comm%	Commercial Informa

30. Please provide a description of why Government funding is required to deliver this project.

[Specifically, please set out why the funding cannot be sourced from other sources (e.g. banks, investors)]

Commercial Information At that stage our plan was the bare bones of our revised Commercial Informat plan in Appendix 5. We requested \$ Commercial Informa to allow us to carry out the minimum amount of investment in development relating to fencing, fertiliser and weed control. Commercial Information

Appendix 5 has been withheld - Commercial Information

Commercial Information

31. Please provide an overview of the applicant's relevant skills and experience for delivering a projects of this nature:

[We would like to understand your relevant experience to assist in delivering this project. In addition, any track record you may have delivering projects of a similar size, scope, or complexity, and how these projects will enable the future success of your business. If you do not have the experience, then please indicate how you will manage this risk.]

As this project is an extension of our current core business, we believe the risk of being unable to implement and monitor this plan is low. In the past five years, the Trustees and farm managers have achieved gains in livestock production, invested in infrastructure Commercial Information . In addition to this our farm manager has 20 year's farming experience relevant to our plan.

32. Please outline the project team and explain the Governance arrangements for this project

*[We would like to know about the members of your project team (the key personnel/leadership team involved in your application), how your organisation will govern the project/activity, as well as how other organisations may be involved (i.e. the Provincial Development Unit, local council, or iwi/hapū) in the governance procedures. Specifically, please name who is on the governance board / leadership team (the **Project Team**)]*

Our project team:

Privacy of natural persons - **Farm Manager**

Privacy of natural persons

Privacy of natural persons

Privacy of natural persons

Privacy of natural persons

Privacy of natural persons **(Chairman)**

Privacy of natural persons

procurement process, for example by considering one or more of the following:

- How regional businesses (inc. Māori / Pacifica enterprises) will be provided with opportunities
- How skills will be developed in the market (i.e. via construction apprenticeships)
- How worker conditions in the supply chain will be improved / managed
- How waste / emissions are being reduced in this procurement.

For more information, please visit: <https://www.procurement.govt.nz/broader-outcomes/>

[We wish to understand how you will approach the market effectively and ensure value for money / public value is delivered, noting that public money is being utilised. If you have already been to market, then please describe who the supplier(s) are, how/why they were selected, and what the contractual arrangements are]

██████████ will obtain quotes for materials from a range of local suppliers and contractors to ensure that the farm obtains inputs of a high quality but that are cost-effective.

This means that we will use local suppliers and contractors from the Ruatoria/Tikitiki area where they are able to provide the appropriate level of service or quality of goods. We will look at expanding this region down Gisborne, Wairoa or Hawkes Bay if local suppliers are not available or the cost of using them is significantly higher than those from the immediate area.

We expect to hire locals from the Tikitiki and Ruatoria areas as casual farm workers, builders and shearing contractors.

Commercial Information

34. What risks are associated with the delivery of this activity?

#	Risk	Mitigation approach	Rating
1	If access to stakeholders is not achieved, then full consultation may not occur	- Owner's resolution to support ██████████ plan and PGF proposal unanimously approved at AGM on 11 th October 2019.	Low
2	If the contractor's price is not accurate, then additional funding may be required	- Timeframe between quotes and delivery is low, so pricing should be accurate - Commercial Information ██████████ ██████████ ██████████ ██████████	Low Medium
3	Inability to find contractors to complete the work in the required timeframe	- Farm manager has approached contractors for quotes and to discuss possibility of doing work in near future	Low
x		-	

35. Will the applicant own the asset on delivery?

Yes:

No:

- If no, please describe who will own the asset.

[insert your commentary here]

36. When the project is delivered, what is the plan to operationalise the asset (if an asset), and maintain it through life?

[We would like to understand what will happen after the funding has been used, and the project is delivered. Please cover how sustainable the resulting asset will be in terms of funding, skills required, and consumer demands etc.]

As detailed in our **Commercial Informa** plan and forecasts, completion of this project will increase productivity and profitability on Hereumu Station to a level where the farm will be able to sustain long term farming operations. **Commercial Information**

37. What will the impact be on the applicant's financial accounts?

[Please describe what impact the funding will have on the applicant's financial accounts over the time of the project.

Where possible, please provide us with the following:

- Growth forecasts / projections post project completion

Appendix 6 and 7 **Withheld - Commercial Information**

- 2 years of financial accounts.

Attached **Withheld - Commercial Information**

- Current banking arrangements.

Commercial Information This will give Hereumu the ability to maintain investment in infrastructure to ensure farm productivity does not fall back to prior levels and this will enable Hereumu to maximise gross farm income.

- Details of any borrowings (including lender, loan values and loan maturity dates).

Commercial Information

[Redacted content for borrowings details]

Part D: Declarations

Commercial Information

- 38. The contracting entity is compliant and will continue to comply with all applicable laws, regulations, rules and professional codes of conduct or practice including but not limited to health and safety and employment practices

- 39. Has this activity ever been declined Crown Funding in the past?

- 40. Has the applicant or the contracting entity ever been insolvent or subject to an insolvency action, administration or other legal proceedings?

- 41. Has any individual in the Project Team (including the Applicant’s Leadership Team, directors, partners, or trustees, or any key members of the project) ever been insolvent or subject to an insolvency action, administration or other legal proceedings, or actively involved in any organisation which has?

- 42. Has any individual in the Project Team (including the Applicant’s Leadership Team, directors, partners, or trustees, or any key members of the project) ever been adjudged bankrupt or is an undischarged bankrupt?

- 43. Has any individual in the Project Team (including the Applicant’s Leadership Team, directors, partners, or trustees, or any key members of the project) ever been under investigation for, or been convicted of, any criminal offence?

- 44. Are there any actual, potential or perceived conflicts of interest that the applicant or any of the key personnel have in relation to this project.

“In a small country like ours, conflicts of interest in our working lives are natural and unavoidable. The existence of a conflict of interest does not necessarily mean that someone has done something wrong, and it need not cause problems. It just needs to be identified and managed carefully...”

<https://www.oag.govt.nz/2007/conflicts-public-entities>

If you answered “Yes” to any question from 39 to 44, please provide a description below:

By completing the details below, the applicant makes the following declarations about its application for Provincial Growth Fund funding for the project ("application"):

- I have read, understand and agree to the Terms and Conditions of applying for Provincial Growth Fund funding which are attached as Appendix 1;
- The statements in the application are true and the information provided is complete and correct, and there have been no misleading statements or omissions of any relevant facts nor any misrepresentations made;
- I have secured all appropriate authorisations to submit the application, to make the statements and to provide the information in the application;
- I have obtained the permission of each member of the Project Team to provide the information contained in this application and those individuals are aware of, and agree to, the Terms and Conditions of applying for Provincial Growth Fund funding which are attached as Appendix 1;
- I consent to this application being publicly released if funding is approved. I have identified the commercially sensitive and personal information.
- The applicant warrants that it has no actual, potential or perceived conflict of interest (except any already declared in the application) in submitting the application or entering into a contract to carry out the project. Where a conflict of interest arises during the application or assessment process, the applicant will report it immediately to the Provincial Development Unit by emailing PGF@mbie.govt.nz; and
- I understand that the falsification of information, supplying misleading information, or the suppression of material information in this application, may result in the application being eliminated from the assessment process and may be grounds for termination of any contract awarded as a result of this application process.
- The applicant consents to the Provincial Growth Fund undertaking due diligence including any third party checks as may be required to fully assess the application.

Full name:

Privacy of natural persons

Title / position:

Chairman, Hereumu Tikitiki A25 Trust

Signature / eSignature:

Privacy of natural persons



Date:

12 November 2019

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Appendix 1 – Terms and Conditions of this Application

General

The terms and conditions are non-negotiable and do not require a response. Each applicant that submits a request for Provincial Growth Fund (“PGF”) funding (each an “application”) has confirmed by their signature (or e-signature) on the application that these terms and conditions are accepted without reservation or variation.

The Provincial Growth Fund is a government initiative which is administered by the Provincial Development Unit, a unit within the Ministry of Business, Innovation and Employment. Any reference to the Provincial Development Unit in these terms and conditions, is a reference to MBIE on behalf of the Crown.

Reliance by Provincial Development Unit

The Provincial Development Unit may rely upon all statements made by any applicant in an application and in correspondence or negotiations with the Provincial Development Unit or its representatives. If an application is approved for funding, any such statements may be included in the contract.

Each applicant must ensure all information provided to the Provincial Development Unit is complete and accurate. The Provincial Development Unit is under no obligation to check any application for errors, omissions, or inaccuracies. Each applicant will notify the Provincial Development Unit promptly upon becoming aware of any errors, omissions, or inaccuracies in its application or in any additional information provided by the applicant.

Ownership and intellectual property

Ownership of the intellectual property rights in an application does not pass to the Provincial Development Unit. However, in submitting an application, each applicant grants the Provincial Development Unit a non-exclusive, transferable, perpetual licence to use and disclose its application for the purpose of assessing and decision making related to the PGF application process. Any hard copy application or documentation supplied by you to the Provincial Development Unit may not be returned to you.

By submitting an application, each applicant warrants that the provision of that information to the Provincial Development Unit, and the use of it by the Provincial Development Unit for the evaluation of the application and for any resulting negotiation, will not breach any third-party intellectual property rights.

Confidentiality

The Provincial Development Unit is bound by the Official Information Act 1982 (“OIA”), the Privacy Act 1993, parliamentary and constitutional convention and any other obligations imposed by law. While the Provincial Development Unit intends to treat information in applications as confidential to ensure fairness for applicants during the assessment and decision-making process, the information can be requested by third parties and the Provincial Development Unit must provide that information if required by law. If the Provincial Development Unit receives an OIA request that relates to information in this application, where possible, the Provincial Development Unit will consult with you and may ask you to confirm whether the information is considered by you to be confidential or still commercially sensitive, and if so, to explain why.

Use and disclosure of information

The Provincial Development Unit will require you to provide certain information, including personal information, on application forms if you wish to apply for funding. If you do not provide all of the information that is required on an application form, the Provincial Development Unit may be unable to process or otherwise progress your application.

MBIE will generally only use personal information provided in the application process for the purpose of administering the PGF which includes assessing an application you have submitted, contracting, monitoring compliance and reporting.

We may use personal information provided to us through the application for other reasons permitted under the Privacy Act (e.g. with your consent, for a directly related purpose, or where the law permits or requires it).

The Provincial Development Unit may disclose any application and any related documents or information provided by the applicant, to any person who is directly involved in the PGF application and assessment process on its behalf including the Independent Advisory Panel (“IAP”), officers, employees, consultants, contractors and professional advisors of the Provincial Development Unit or of any government agency. The disclosed information will only be used for the purpose of participating in the PGF application and assessment process, including assessment and ongoing monitoring, which will include carrying out due diligence. Due diligence may involve MBIE disclosing information to another MBIE business unit or relevant agency in order to assess the application and verify the information contained in the application and accompanying documents.

MBIE will generally not otherwise disclose personal information provided or collected through this application unless required or otherwise permitted by law. For example, we may seek your consent to undertake additional due diligence checks and request information from other relevant third parties. If an application is approved for funding, information provided in the application and any related documents may be used for the purpose of contracting.

In the interests of public transparency, if an application is approved for funding, the application (and any related documents) may be published by the Provincial Development Unit. Commercially sensitive and personal information will be redacted by reference to the provisions of the Official Information Act 1982.

Limitation of Advice

Any advice given by the Provincial Development Unit, any other government agency, their officers, employees, advisers, other representatives, or the IAP about the content of your application does not commit the decision maker (it may be Senior Regional Officials, Ministers or Cabinet depending on the level of funding requested and the nature of the project) to make a decision about your application.

This limitation includes individual members of the IAP. The IAP's recommendations and advice are made by the IAP in its formal sessions and any views expressed by individual members of the IAP outside of these do not commit the IAP to make any recommendation.

No contractual obligations created

No contract or other legal obligations arise between the Provincial Development Unit and any applicant out of, or in relation to, the application and assessment process, until a formal written contract (if any) is signed by both the Provincial Development Unit and a successful applicant.

No process contract

The PGF application and assessment process does not legally oblige or otherwise commit the Provincial Development Unit to proceed with that process or to assess any particular applicant's application or enter into any negotiations or contractual arrangements with any applicant. For the avoidance of doubt, this application and assessment process does not give rise to a process contract.

Costs and expenses

The Provincial Development Unit is not responsible for any costs or expenses incurred by you in the preparation of an application.

Exclusion of liability

Neither the Provincial Development Unit or any other government agency, nor their officers, employees, advisers or other representatives, nor the IAP or its members will be liable (in contract or tort, including negligence, or otherwise) for any direct or indirect damage, expense, loss or cost (including legal costs) incurred or suffered by any applicant, its affiliates or other person in connection with this application and assessment process, including without limitation:

- a) the assessment process
- b) the preparation of any application
- c) any investigations of or by any applicant
- d) concluding any contract
- e) the acceptance or rejection of any application, or
- f) any information given or not given to any applicant(s).

By participating in this application and assessment process, each applicant waives any rights that it may have to make any claim against the Provincial Development Unit. To the extent that legal relations between the Provincial Development Unit and any applicant cannot be excluded as a matter of law, the liability of the Provincial Development Unit is limited to \$1.

Nothing contained or implied in or arising out of the PGF documentation or any other communications to any applicant shall be construed as legal, financial, or other advice of any kind.

Inducements

You must not directly or indirectly provide any form of inducement or reward to any IAP member, officer, employee, advisor, or other representative of the Provincial Development Unit or any other government agency in connection with this application and assessment process.

Governing law and jurisdiction

The PGF application and assessment process will be construed according to, and governed by, New Zealand law and you agree to submit to the exclusive jurisdiction of New Zealand courts in any dispute concerning your application.

Public statements

The Provincial Development Unit and any other government agency, or any relevant Minister, may make public in whole or in part this application form including the following information:

- the name of the applicant(s)
- the application title
- a high-level description of the proposed project/activity
- the total amount of funding and the period of time for which funding has been approved
- the region and/or sector to which the project relates

The Provincial Development Unit asks applicants not to release any media statement or other information relating to the submission or approval of any application to any public medium without prior agreement of the Provincial Development Unit.

Electronic signature

You can only file documents and information with us using an electronic signature if you're the signatory, or have authority to act on behalf of the signatory, and are using software that complies with our standards, in particular keeping records of transactions where an electronic signature has been used. Once a document with your electronic signature has been filed with us, we consider the information:

- has been provided with your full knowledge and agreement
- is authentic and accurate
- wasn't amended after your electronic signature was added to the document, unless a change has been clearly marked on the document.

You're responsible for:

- safeguarding how and when your electronic signature and credentials are used on documents and information
- managing who has authority to use your electronic signature on your behalf, for example, a chartered accountant.

If your electronic signature on a document or information is filed with us, you won't be able to dispute having signed and approved the document or information. If we question the authenticity of an electronic signature or online transaction, you must be able to demonstrate on request the validity of the software used to apply your electronic signature to the document.

You must use electronic signature software that captures authentication, time and source details for any online transaction where a document with your electronic signature has been filed. These details must be held within the software itself, in the form of a file that:

- is maintained in its original form with no amendments, and
- can be provided to us, if requested, within a specified time.

The file must be treated as a record, as defined by the Companies Act 1993, and a business record as defined by the Evidence Act 2006.

Appendix 2 - Operational criteria for all tiers of the Fund

Link to Fund and government outcomes

- Demonstrate the ways in which the project will contribute to lifting the productivity potential of the region
- Demonstrate how the project contributes to the Fund's objectives of:
 - more permanent jobs
 - benefits to the community and different groups in the community
 - increased utilisation and returns for Māori from their asset base (where applicable)
 - sustainability of natural assets (e.g. water, soil integrity, the health and ecological functioning of natural habitats)
 - mitigating or adapting to climate change effects, including transitioning to a low emissions economy
- Clear evidence of public benefits (i.e. benefits other than increased profitability for the applicant)
- Are in a Government priority region or sector

Additionality

- Project is not already underway, does not involve maintenance of core infrastructure or assets (except for rail and transport resilience initiatives), and does not cover activities the applicant is already funded for (funding could be considered to increase the scale of existing projects or re-start stalled projects)
- Demonstrated benefit of central Government investment or support
- Detail of any supporting third-party funding (and any funding sought unsuccessfully)
- Acts as a catalyst to unlock a region's productivity potential
- Demonstrated links to other tiers of the Fund and related projects, to maximise value of Government investment

Connected to regional stakeholders and frameworks

- Evidence of relevant regional and local support, either through existing regional development mechanisms, or through another relevant body such as a council, iwi or other representative group (or reasons for any lack of local support)
- Has been raised and discussed with the region's economic development governance group
- Alignment with, or support for the outcomes of, any relevant regional development plan, Māori development strategy or similar document (whether regional or national)
- Demonstrated improvement in regional connectedness (within and between regions)
- Leverage credible local and community input, funding, commercial and non-commercial partners
- Utilise existing local, regional or iwi/Māori governance mechanisms

Governance, risk management and project execution

- Evidence of robust project governance, risk identification/management and decision-making systems and an implementation plan appropriate to the size, scale and nature of the project
- Future ownership options for capital projects, including responsibility for maintenance, further development, and other relevant matters
- Benefits and risks clearly identified and quantified, depending on the scale of the initiative
- Evidence of potential exit gates and stop/go points, and a clear exit strategy
- Clearly identifies whole of life costs (capital and operating)
- Dependencies with other related projects are identified
- Evidence of sustainability after conclusion of PGF funding
- Adequacy of asset management capability (for capital projects)
- Compliance with international obligations (where relevant)